



## **Iroquois County American Rescue Plan Act (ARPA)**

### Introduction

The American Rescue Plan Act (ARPA) was signed into law on March 11, 2021. There is \$350 billion allocated for States and Local Recovery efforts and Iroquois County was allotted \$5,266,577. The funds will be received in two equal portions and are intended to help mitigate the impacts of the Covid-19 pandemic. Iroquois County will be using the funds to respond to the COVID-19 pandemic, address negative effects, provide services affected by a revenue reduction due to the pandemic and make investments into infrastructure related to the pandemic and current/future mitigation.

### Intent

Iroquois County will allocate funds for qualified businesses, not-for-profit entities, projects or direct to individuals based upon the ARPA rules, location, need, impact and equity. With the knowledge that municipalities received their own allocations, focus will be on rural areas of the County, County infrastructure and potential future emergency-related issues.

### Iroquois County Board Role

Iroquois County residents rely on services such as County Clerk & Recorder, Elections, Assessment, Planning & Zoning, County Treasurer, County Finance, Sheriff's Departments, the Courts (including the States Attorney, Public Defender, Probation, Circuit Clerk) and County Highway.

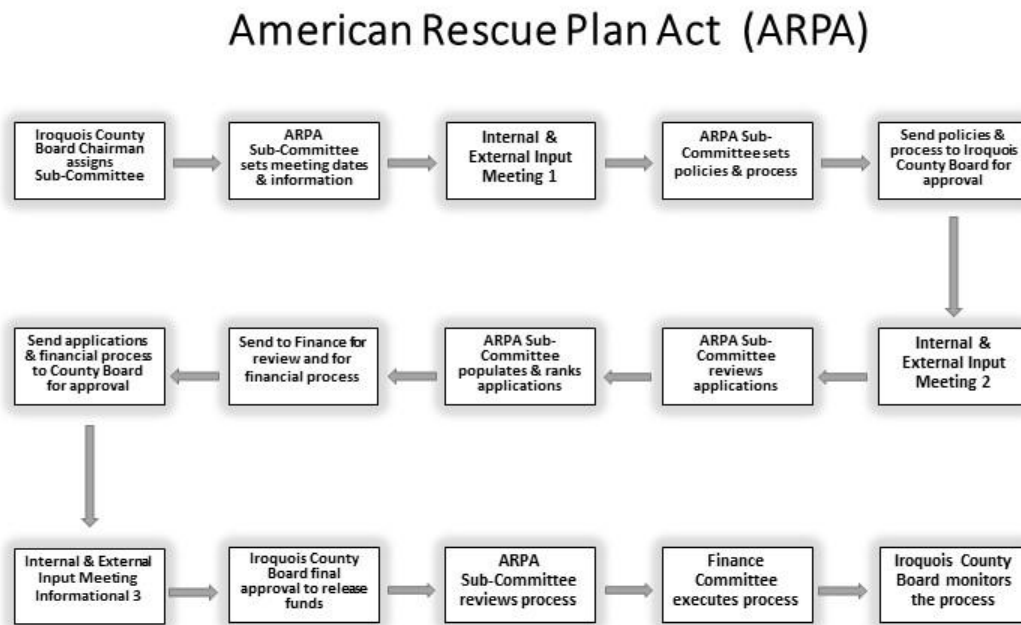
The Iroquois County Public Health Department and ETSB-911 are separate entities managed by their own boards and funding sources, thus these entities are not part of County as defined herein. These Departments have access to funds outside of ARPA funds allocated by the United States Department of Treasury. The County Board will look to identify areas of cooperation with these two entities.

Policies

1. Before ARPA funds are utilized, special/internal and or other grant funds will be exhausted.
2. Identify and support communities that were unable or ineligible to participate in the CURES/PPP/CARES programs.
3. Grantees must demonstrate access to continue operations post ARPA funding (no County funding).
4. Any Grantee’s program that represents similar geography and/or service area to another Grantee, will be encouraged to coordinate and work together.
5. Priority will be given to locally-owned businesses and to employees/students within the County.
6. Solicit public involvement, construct a consensus for projects and continue transparency modeled by all of Iroquois County Administration processes.
7. Iroquois County will support submitted applications, infrastructure improvements and grant opportunities that address the effects of the pandemic on Iroquois County. Support will be designed to reduce future burden upon taxpayers and address infrastructure needs.

*\*additional policies may be adopted by process by Committee and then County Board votes*

Procedure



*\*this may evolve over the course of the project timeline*

### Non-allowed uses of funds and limitations

ARPA funds are not directly available for private for-profit entities within any municipality, school district, health department, homeowners/renters within municipalities, tourism-related entity or business, or any other entity that is eligible for and received American Rescue Plan Act (ARPA) funds via Municipalities, PPP, SBA and/or associated direct Federal, State and/or municipal relief funds. These entities have access to other ARPA funding and must apply to those sources first in order to be eligible for ARPA funds allocations.

*\*this may evolve over the course of the project timeline*



# Example Uses of Funds

## Support Public Health Response

- **Services to contain and mitigate the spread of COVID-19**, including vaccination, medical expenses, testing, contact tracing, quarantine costs, capacity enhancements, and many related activities
- **Behavioral healthcare services**, including mental health or substance misuse treatment, crisis intervention, and related services
- **Payroll and covered benefits** for public health, healthcare, human services, and public safety staff to the extent that they work on the COVID-19 response

## Replace Public Sector Revenue Loss

- **Ensure continuity of vital government services** by filling budget shortfalls
- **Revenue loss is calculated** relative to the expected trend, beginning with the last full fiscal year pre-pandemic and adjusted annually for growth
- **Recipients may re-calculate revenue loss** at multiple points during the program, supporting those entities that experience revenue loss with a lag

## Water & Sewer Infrastructure

- **Includes improvements to infrastructure**, such as building or upgrading facilities and transmission, distribution, and storage systems
- **Eligible uses aligned to Environmental Protection Agency project categories** for the Clean Water State Revolving Fund and Drinking Water State Revolving Fund

## Equity-Focused Services

- **Additional flexibility for the hardest-hit communities and families** to address health disparities, invest in housing, address educational disparities, and promote healthy childhood environments
- **Broadly applicable** to Qualified Census Tracts, other disproportionately impacted areas, and when provided by Tribal governments

## Address Negative Economic Impacts

- **Deliver assistance to workers and families**, including support for unemployed workers, aid to households, and survivor's benefits for families of COVID-19 victims
- **Support small businesses** with loans, grants, in-kind assistance, and counseling programs
- **Speed the recovery of impacted industries**, including the tourism, travel, and hospitality sectors
- **Rebuild public sector capacity** by rehiring staff, replenishing state unemployment insurance funds, and implementing economic relief programs

## Premium Pay for Essential Workers

- **Provide premium pay to essential workers**, both directly and through grants to third-party employers
- **Prioritize low- and moderate-income workers**, who face the greatest mismatch between employment-related health risks and compensation
- **Key sectors include** healthcare, grocery and food services, education, childcare, sanitation, and transit
- **Must be fully additive** to a worker's wages

## Broadband Infrastructure

- **Focus on households and businesses** without access to broadband and those with connections that do not provide minimally acceptable speeds
- **Fund projects that deliver reliable service** with minimum 100 Mbps download / 100 Mbps upload speeds unless impracticable
- **Complement broadband investments** made through the Capital Projects Fund

## Ineligible Uses

- **Changes that reduce net tax revenue** must not be offset with American Rescue Plan funds
- **Extraordinary payments into a pension fund** are a prohibited use of this funding
- **Other restrictions apply** to eligible uses

**The examples listed in this document are non-exhaustive, do not describe all terms and conditions associated with the use of this funding, and do not describe all the restrictions on use that may apply.** The U.S. Department of the Treasury provides this document, the State and Local contact channels, and other resources for informational purposes. Although efforts have been made to ensure the accuracy of the information provided, the information is subject to change or correction. Any Coronavirus State and Local Fiscal Recovery Funds received will be subject to the terms and conditions of the agreement entered into by Treasury and the respective jurisdiction, which shall incorporate the provisions of the Interim Final Rule and/or Final Rule that implements this program.

## General Guidance & Reporting Requirements

Iroquois County will be responsible for reporting all transactions and uses of the ARPA funds. The compliance and reporting guidance include the “Coronavirus State and Local Fiscal Recovery Funds Guidance on Recipient Compliance and Reporting Responsibilities”. Other regulatory documents associated with the ARPA Funds are the State and Local Fiscal Recovery Funds (SLFRF) Implementing Regulations and the Uniform Guidance (2 CRF Part 200).

Guiding Principles – The SLFRF Reporting Guidance addresses priority areas for ensuring an equitable economic recovery, including provisions that are:

- **Accountable:** The SLFRF requires program and performance reporting to build public awareness, increase accountability and monitor compliance of eligible uses. Recipients are required to account for every dollar spent and provide detailed information on how funds are used.
- **Transparent:** Treasury will provide comprehensive public transparency reports each quarter across all recipients. Iroquois County Government will provide transparency by broadcasting each meeting and making a full account on the process and allocations which will be located on the County website.
- **Focused on Recovery:** The SLFRF reporting guidance addresses priority areas for an equitable economic recovery, including provisions that prioritize equity, focus on economically distressed areas, support community empowerment, encourage strong labor practices and spotlight evidence-based interventions.

Interim Report – August 31, 2021

- One-time initial overview of status & uses of funds through July 31, 2021

Project & Expenditure Report – October 31, 2021 & quarterly thereafter

### **Projects and Expenditures**

- Project Inventory
- Expenditures
- Project Status
- Project Demographic Distribution
- Civil Rights Compliance

### **Subawards**

- Subawards

### **Program Data**

- Required Programmatic Data for non-infrastructure
  - Payroll for Public Health and Safety Employees
  - Household Assistance
  - Small Business Economic Assistance
  - Aid to Travel, Tourism and Hospitality or Other Impacted Industries
  - Rehiring Public Sector Staff
  - Education Assistance
  - Premium Pay
  - Revenue Replacement
- Required Programmatic Data for Infrastructure
  - Water Infrastructure
  - Sewer Infrastructure
  - Broadband Infrastructure



**Iroquois County**  
**American Rescue Plan Act Application**  
**Funding Application for Under \$50,000**

Applicant Information

Full Name or Company Name: \_\_\_\_\_ Date: \_\_\_\_\_

Address: \_\_\_\_\_  
Street Address Apartment/Unit/Suite Number

\_\_\_\_\_ City State ZIP Code

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

SSN or FEIN #: \_\_\_\_\_ Desired Funding: \_\_\_\_\_

Reason for Funding (attach narrative if needed): \_\_\_\_\_  
\_\_\_\_\_

Have you received federal COVID relief of any kind? Yes No If yes, what have you received? \_\_\_\_\_  
**DOCUMENTATION REQUIRED** Circle One \_\_\_\_\_

Have you previously applied for grant funding? Yes No  
**DOCUMENTATION REQUIRED** Circle One

If yes, have you ever been denied or required to pay back grant funding? (attach narrative if needed): \_\_\_\_\_  
\_\_\_\_\_

Have you received any PPE loans? Yes No  
Circle One

Additional Information

- Funding with expenses incurred prior to March 3, 2021 is not eligible for reimbursement.
- Any Funding awarded will be received on a reimbursement basis and will only be paid after review of proper receipts or invoices.
- The project must meet the criteria listed in Treasury interim final rule attached below in order to qualify.
- Additional information may be requested.

Project Description & Categorization

The Expenditure Categories (EC) listed below must be used to categorize each project. If an applicant cannot identify with a category listed, please list the project details. (attach narrative if needed)

Project Description: \_\_\_\_\_

<b>1: Public Health</b>	
1.1	COVID-19 Vaccination ^
1.2	COVID-19 Testing ^
1.3	COVID-19 Contact Tracing
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)*
1.5	Personal Protective Equipment
1.6	Medical Expenses (including Alternative Care Facilities)
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19
1.10	Mental Health Services*
1.11	Substance Use Services*
1.12	Other Public Health Services
<b>2: Negative Economic Impacts</b>	
2.1	Household Assistance: Food Programs* ^
2.2	Household Assistance: Rent, Mortgage, and Utility Aid* ^
2.3	Household Assistance: Cash Transfers* ^
2.4	Household Assistance: Internet Access Programs* ^
2.5	Household Assistance: Eviction Prevention* ^
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers*
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)* ^
2.8	Contributions to UI Trust Funds
2.9	Small Business Economic Assistance (General)* ^
2.10	Aid to Nonprofit Organizations*
2.11	Aid to Tourism, Travel, or Hospitality
2.12	Aid to Other Impacted Industries
2.13	Other Economic Support* ^
2.14	Rehiring Public Sector Staff

<b>3: Services to Disproportionately Impacted Communities</b>	
3.1	Education Assistance: Early Learning* ^
3.2	Education Assistance: Aid to High-Poverty Districts ^
3.3	Education Assistance: Academic Services* ^
3.4	Education Assistance: Social, Emotional, and Mental Health Services* ^
3.5	Education Assistance: Other* ^
3.6	Healthy Childhood Environments: Child Care* ^
3.7	Healthy Childhood Environments: Home Visiting* ^
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System* ^
3.9	Healthy Childhood Environments: Other* ^
3.10	Housing Support: Affordable Housing* ^
3.11	Housing Support: Services for Unhoused Persons* ^
3.12	Housing Support: Other Housing Assistance* ^
3.13	Social Determinants of Health: Other* ^
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators* ^
3.15	Social Determinants of Health: Lead Remediation ^
3.16	Social Determinants of Health: Community Violence Interventions* ^
<b>4: Premium Pay</b>	
4.1	Public Sector Employees
4.2	Private Sector: Grants to Other Employers
<b>5: Infrastructure<sup>27</sup></b>	
5.1	Clean Water: Centralized Wastewater Treatment
5.2	Clean Water: Centralized Wastewater Collection and Conveyance
5.3	Clean Water: Decentralized Wastewater
5.4	Clean Water: Combined Sewer Overflows
5.5	Clean Water: Other Sewer Infrastructure
5.6	Clean Water: Stormwater
5.7	Clean Water: Energy Conservation
5.8	Clean Water: Water Conservation
5.9	Clean Water: Nonpoint Source
5.10	Drinking water: Treatment
5.11	Drinking water: Transmission & Distribution
5.12	Drinking water: Transmission & Distribution: Lead Remediation
5.13	Drinking water: Source
5.14	Drinking water: Storage
5.15	Drinking water: Other water infrastructure
5.16	Broadband: "Last Mile" projects
5.17	Broadband: Other projects
<b>6: Revenue Replacement</b>	
6.1	Provision of Government Services
<b>7: Administrative</b>	
7.1	Administrative Expenses
7.2	Evaluation and Data Analysis
7.3	Transfers to Other Units of Government
7.4	Transfers to Non-entitlement Units (States and territories only)



*I certify that my answers are true and complete to the best of my knowledge. Additional information may be requested upon receiving the application.*

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**Iroquois County Use Only**  
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Received by: \_\_\_\_\_ Date: \_\_\_\_\_

Notes

Approved Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**Iroquois County**  
**American Rescue Plan Act Application**  
**Funding Application for Over \$50,000**

Applicant Information

Full Name or Company Name: \_\_\_\_\_ Date: \_\_\_\_\_

Address: \_\_\_\_\_  
Street Address Apartment/Unit/Suite Number

City State ZIP Code

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

SSN or FEIN #: \_\_\_\_\_ Desired Funding: \_\_\_\_\_

Reason for Funding (attach narrative if needed): \_\_\_\_\_

Have you received federal COVID relief of any kind? Yes No If yes, what have you received? \_\_\_\_\_  
**DOCUMENTATION REQUIRED** Circle One

Have you previously applied for grant funding? Yes No  
**DOCUMENTATION REQUIRED** Circle One

If yes, have you ever been denied or required to pay back grant funding? (attach narrative if needed): \_\_\_\_\_

Have you received any PPE loans? Yes No  
Circle One

Additional Information

- Funding with expenses incurred prior to March 3, 2021 is not eligible for reimbursement.
- Any Funding awarded will be received on a reimbursement basis and will only be paid after review of proper receipts or invoices.
- The project must meet the criteria listed in Treasury interim final rule attached below in order to qualify.

- All recipients are required to have an active DUNS # and Sam.gov registration. See URL for more information. <https://sam.gov/content/home>
- Funding over \$50,000 is subject to quarterly reporting and yearly monitoring detailed in reporting section.
- Funding over \$750,000 will have single audit requirements. Information on single audits will be available at [https://www.whitehouse.gov/wp-content/uploads/2019/09/2-CFR\\_Part-200\\_Appendix-XI\\_Compliance-Supplement\\_August-2019\\_FINAL\\_v2\\_09.19.19.pdf](https://www.whitehouse.gov/wp-content/uploads/2019/09/2-CFR_Part-200_Appendix-XI_Compliance-Supplement_August-2019_FINAL_v2_09.19.19.pdf)
- A project plan is required for all projects. Project plan requirements are detailed in the project and reporting section.

Project and Reporting Requirements

In general, recipients will be asked to provide the following information for each Contract, Grant, Loan, Transfer or Direct Payment greater than or equal to \$50,000:

- Identifying and demographic information (DUNS # and location)
- Award # (award #, contract #, loan #)
- Award date, type, amount and description
- Award payment method (reimbursable or lump sum)
- For loans, expiration date (date when loan is expected to be paid in full)
- Primary place of performance
- Related project name(s)
- Related project identification number(s) (created by the recipient)
- Period of performance start date and end date
- Quarterly obligation amount
- Quarterly expenditure amount
- Project (s)

For each project, the recipient will be required to enter the project name, identification # (created by the recipient), project expenditure category, description and status of completion. Project descriptions must describe the project in sufficient detail to provide an understanding of the major activities that will occur and will be required to be between 20 and 250 words.

Expenditures: Once a project is entered the recipient will be able to report on the project’s obligations and expenditures. Recipients will be asked to report:

- Current period obligation
- Cumulative obligation
- Current period expenditure
- Completed

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**Iroquois County Use Only**  
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Received by: \_\_\_\_\_ Date: \_\_\_\_\_

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Approved Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Links to Additional Resources (current communications at the time of report preparation)

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/state-and-local-fiscal-recovery-funds/recipient-compliance-and-reporting-responsibilities>

<https://www.govinfo.gov/content/pkg/FR-2021-05-17/pdf/2021-10283.pdf>

<https://www.grants.gov/learn-grants/grant-policies/omb-uniform-guidance-2014.html>

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/state-and-local-fiscal-recovery-funds>

<https://home.treasury.gov/system/files/136/SLFRPFAQ.pdf>